

Washington University

Hilltop Campus

Research Administrators Forum

October 2002

Federal Demonstration Partnership

- **FDP Agencies**
- **FDP General Terms and Conditions**
- **Agency Implementation of FDP Terms and Conditions.**
- **FDP Prior Approval Matrix**
- **Agency Specific Requirements (exceptions to FDP terms and conditions).**

FDP Agencies

- NIH
- NSF
- NASA
- Dept. of Energy
- EPA
- ONR
- AFOSR
- AMRMC
(Army)
- ARO (Army)
- USDA/CSREES

Non-FDP Agencies

- **USDE**
- **USAID**
- **HUD**
- **Peace Corp**
- **Other DHHS**
 - **HRSA**
 - **SAMHSA**
 - **FDA**
 - **CDC**
 - **AHRQ**

FDP Terms and Conditions

- **Incorporates administrative and financial requirements of OMB Circulars as they apply to awards made by Federal agencies to educational organizations, when each is a party to FDP.**
- **Streamlines OMB Circular A-110.**
- **Award notices will designate applicability of FDP Terms and Conditions (T&C).**

Implementation of FDP Terms and Conditions

- **National Science Foundation (NSF)**
 - All new grants and funding increments on existing grants (10/1/2002).
 - Applies to all NSF grant awards.
 - Does not apply to cooperative agreements or fellowship awards.

Implementation of FDP Terms and Conditions

- **National Institutes of Health (NIH)**
 - Awards will include a footnote indicating FDP Phase IV.
 - Applies to all NIH grant awards.
 - Assuming that they don't apply to NIH cooperative agreements or contracts.
 - Does not apply to other DHHS units (HRSA, CDC, FDA...).

Implementation of FDP Terms and Conditions

- **National Aeronautics & Space Administration (NASA)**
 - NASA will implement FDP-type awards starting 10/1/02.
 - Applies to research grants.
 - Does not apply to cooperative agreements, contracts or other transactions.

FDP Prior Approval Matrix

- **Summarizes prior approval and other requirements of FDP General Terms and Conditions.**
- **Some items are uniform for all agencies.**
- **Denotes the exceptions and/or exclusions made by individual agencies.**

Cost Sharing

- FDP: Recipient may include unrecovered indirect costs as part of cost sharing or matching.
- Other Awards: Unrecovered indirect costs may be included as part of cost sharing or matching with the prior approval of federal awarding agency.

Program Income

- **Additive method will be used to dispose of program income, unless the agency-specific requirements of the award specify otherwise.**
- **Awarding agency could specify one of three methods to treat program income (additive, deductive or combination).**

Rebudgeting

- **Prior Approval is Not Required**
- **Rebudgeting among budget categories.**
- **Rebudgeting between direct and f&A costs.**
- **Rebudgeting of funds allotted for training allowances to other categories (except NSF).**

Pre-award Costs

- **Prior approval waived for pre-award costs incurred 90 days prior to award.**
- **Prior approval required for pre-award costs incurred more than 90 day before award.**

Foreign Travel

- **Prior approval is waived for foreign travel expenses, except for ARO (Army Research Office) awards.**

Equipment

- **Own equipment upon acquisition without conditions or without obligations to the sponsor at termination of project. Equipment is considered “exempt property”.**
- **Trade in equipment purchased with project funds to buy replacement equipment.**

Agency Specific Requirements

- Each agency has specific requirement to FDP General Terms and Conditions.
- Specific Requirement documents are located on the FDP/NSF website, http://www.nsf.gov/home/grants/grants_fdp.htm.

FDP on SPA Web

The SPA website will contain the following FDP data:

- **General Terms and Conditions**
- **Agency Implementation Schedule**
- **Prior Approval Matrix**
- **Agency Specific Requirements for NIH, NSF and NASA.**
- **List of the University's FDP contacts.**

OMB Circular A-21

Proposed Changes to A-21

- **Attempt to streamline A-21, A-122 and A-87.**
- **Issued by OMB 8/12/02 and comments due in 60 days (10/11/02).**
- **Document lists all three circulars and has “Proposed Change” field.**

Prior Approval Requirements

Continues existing, adds new or reintroduces prior approval for:

- Foreign Travel (each trip)**
- Special Purpose Equipment**
- Publication and Printing**
- Pre-award Costs**
- Overtime Premiums**
- Rearrangement and Alteration Costs**

WU Response

- **Prior approvals are:**
 - **A big step backwards.**
 - **FDP and expanded authorities proved that prior approvals added little value.**
 - **Will redirect PI time from research to admin.**
 - **Will create additional administrative burden for grantee, sub-grantee and federal agencies.**

Responses to OMB

- **WU submitted ten page response from VC of Research and VC of Finance.**
- **Request OMB to withdraw proposed changes and start new effort which includes participation of universities (concurring with COGR response).**
- **OMB has received about 250 responses to date. Email mailbox was completely full.**

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